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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/765,865	01/18/2001	Shizuo Hattori	208753

CONFIRMATION NO. 1162

000023460

LEYDIG VOIT & MAYER, LTD  
TWO PRUDENTIAL PLAZA, SUITE 4900  
180 NORTH STETSON AVENUE  
CHICAGO, IL 60601-6780

FORMALITIES LETTER



\*OC000000005821755\*

Date Mailed: APR 11 2001

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

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*W. Lam*  
*A copy of this notice MUST be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE





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APR 11 2001

PATENT

Attorney Docket No. 208753

Date: April 2, 2001

In re Application of **Mattoori et al.**  
 Application No. **09/765,865**  
 Filed: **January 18, 2001**  
 For: **NOVEL PLASMID, BEARING THE PLASMID, AND METHOD OF  
 PRODUCING AN ENZYME USING THE TRANSFORMANT**

**COMMISSIONER FOR PATENTS**  
**Washington, D.C. 20231**

Sir:

Transmitted herewith is an Amendment and Response to Notice to Comply With Sequence Listing Requirements in the subject application.

Applicants claim small entity status of this application under 37 CFR 1.27.

**Petition For Extension Of Time**

Applicant(s) petitions for an extension of time under 37 C.F.R. § 1.136, the fee for which is **\$ 0**.  
 Applicant(s) believes that no petition for an extension of time is necessary. However, to the extent that such petition is deemed necessary, applicant(s) hereby petition for a sufficient extension of time to render the present submission timely. Please charge Deposit Account No. 12-1216 for the appropriate petition fee.

No additional claim fee is required.

Other: Computer-readable (diskette) form of corrected Sequence Listing.

Paper copy of corrected Sequence Listing.

Copy of Notice to Comply with Requirements for Sequence Disclosures

Copy of Raw Sequence Listing Error Summary

The claim fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	EXTRA CLAIMS PRESENT	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
			RATE	ADDIT. CLAIM FEE	RATE	ADDIT. CLAIM FEE
TOTAL	6	MINUS	20	= 0	× 9 =	\$ 0
INDEPENDENT	1	MINUS	3	= 0	× 40 =	\$ 0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				+ 135 =	\$ 0	+ 270 =
					TOTAL	\$ 0
					TOTAL	\$ 0

Please charge my Deposit Account No. 12-1216 in the amount of **\$ 0**. A duplicate copy of this sheet is attached.

A check in the amount of **\$ 0** is attached.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 12-1216. A duplicate copy of this sheet is attached.

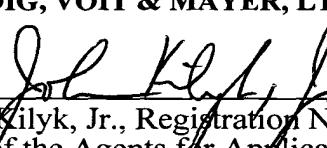
Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.

Any patent application processing fees under 37 C.F.R. § 1.17.

Respectfully submitted,

**LEYDIG, VOIT & MAYER, LTD.**

By

  
 John Kilyk, Jr., Registration No. 30,763  
 One of the Agents for Applicants  
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